

Sarbanes – Oxley Act and IT Audit – 3 day workshop

The Sarbanes-Oxley Act (or SOX as it is often referred to) has been described as the “most broad-sweeping legislation” since the Securities Act of the 1930s. Enacted by President Bush in 2002 in response to such corporate accounting scandals as Enron and WorldCom, the Act is transforming business in corporate America and around the world.

The comprehensive 3-day Sarbanes-Oxley Intensive Camp is an opportunity for you to experience virtually every important element of SOX and how it applies to your job, your career, your company and your industry. This is the most thorough SOX training program in the world and, through a series of simulation workshop exercises, it is designed to address the unique needs of finance, accounting, IT, legal and audit professionals alike.

Who Should Attend

Executives and other professionals in:

- ◆ Finance and Accounting
- ◆ Information Technology
- ◆ Internal / External Audit
- ◆ Professionals interested in the IT Audit field

Duration: 3 days

Day 1 : Introduction to SOX

Learning Objectives: Gain an understanding to what SOX is, how it came to be, and its impact on you.

- History and impact of SOX
- Events leading up to the Act
- Key components of the Act
- Consequences for business
- The role of SEC & PCAOB
- The future impact of SOX

Day 2 - Fundamentals of Sarbanes Oxley

Learning Objectives: An in-depth look at the most important elements of SOX frameworks and practices with emphasis on IT Audit Objectives and IT Controls.

- Critical sections of SOX including 302, 404, 409, 103
- COSO framework
- PCAOB IT General Controls Objectives
- COBIT Domains, Processes, and Control Objectives
- Internal Controls, Financial Assertions, Risk Management

Day 3 – SOX Implementation

Learning Objectives: A comprehensive and thorough coverage of the different IT control frameworks.

- PCAOB ITGC specific objectives with reference to:
- BS7799 Control Areas and Control Objectives
- ITIL Domains

What you will learn:

- Intent of Sarbanes-Oxley and why it is important to you and your organization
- What caused the recent corporate governance failures and how to prevent them
- The tenets of Sarbanes-Oxley CEO and CFO certifications and disclosures
- The roles of Internal Audit, IT Audit, and a comparison to the COSO framework
- Sarbanes-Oxley related bodies including SEC, PCAOB, and COSO
- Sarbanes-Oxley planning and implementation methodology
- Impact of Section 302 (Certification and Disclosure) and Section 404 (Internal Controls)
- Key sections of Sarbanes-Oxley Act (specifically 302, 404, 409, 103, 301)
- The future impact of Sarbanes-Oxley in the US and around the world
- How and why Sarbanes-Oxley impacts virtually everyone in every department
- Career opportunities created by Sarbanes-Oxley and how to leverage them.

Why train with us?:

- The most comprehensive SOX training and certification programs
- Continuously updated, improved, and revised to keep current with changes
- Benefit from world-class real-world training methodology
- Active, hands-on exercises to gain practical skills that you can use immediately
- Superior training environment and instructor-student ratio
- Outstanding value for you investment of time and money
- Opportunity to network with like-minded individuals

Faculty:

Internationally acclaimed trainer Dr. Nitin Bhawsar will be the faculty for the workshop. He has more than 20 years of experience in the field of Software Development, with extensive exposure to IS Audit, Security Audits, and Quality Management Systems. He has conducted hundreds of workshops, seminars, and lectures in India and abroad and will be able to enhance the training by drawing educative case studies and scenarios from his vast repertoire. The workshop will be very interactive with great emphasis on cross-learning and sharing.

- Dates : January 26-27-28 2007
- Duration : 3 days (8 hours a day)
- Timings : 10 am to 6 pm
- Venue : Quexst Solutions Pvt. Ltd: Global Trade Center, 1/1 Ram Baug Colony, New LBS Marg, Navi Peth, Pune – 411030
- Fees : Rs.11500/- + 12.24% Service tax = Total fees Rs.12908/-
- Payment Mode: Cheque or DD in the name of “Quexst Solutions Pvt. Ltd.” payable at Pune.
- Material : All participants to receive reading material - hand out
- Certificate : “Certificate of successful completion” of workshop will be given to all participants. (Subject to the attendance for all 3 days)